

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re the Application of:

HANDSCHY, et al.

Serial No.: 10/067,516

Filed: FEBRUARY 4, 2002

Confirmation No.: 8464

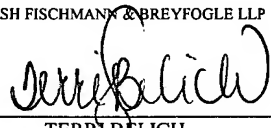
Atty. File No.: 50041-00014 (formerly DIS-5C3)

For: "OPTICS ARRANGEMENTS INCLUDING
LIGHT SOURCE ARRANGEMENTS FOR AN
ACTIVE MATRIX LIQUID CRYSTAL IMAGE
GENERATOR"

) Group Art Unit: 2873

) Examiner: NOT YET ASSIGNED

) INFORMATION DISCLOSURE STATEMENT

CERTIFICATE OF MAILING	
I HEREBY CERTIFY THAT THIS CORRESPONDENCE IS BEING DEPOSITED WITH THE UNITED STATES POSTAL SERVICE AS FIRST CLASS MAIL IN AN ENVELOPE ADDRESSED TO ASSISTANT COMMISSIONER FOR PATENTS, WASHINGTON, DC 20231 ON OCTOBER 9, 2002.	
MARSH FISCHMANN & BREYFOGLE LLP	
BY: 	
TERRY BELICH	

Assistant Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

Enclosed are copies of Information Disclosure Citation Forms PTO-1449 and PTO-892 from prior U.S. Patent Applications 09/046,898 and 08/362,234, from which this application claims priority. Copies of the references cited may be found in those parent applications. It is respectfully requested that the cited documents be considered and that the enclosed copy of Information Disclosure Citation Form PTO-1449 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant.


Pursuant to 37 C.F.R. §1.97, the submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made and is not to be construed as an admission that the information cited in this statement is material to patentability.

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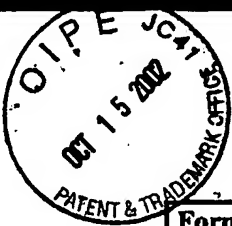
Pursuant to 37 C.F.R. §1.97, this Information Disclosure Statement is being submitted
under 37 C.F.R. §1.97(b).

Respectfully submitted,

MARSH FISCHMANN & BREYFOGLE LLP

By: 
Robert G. Crouch
Registration No. 34,806
3151 South Vaughn Way, Suite 411
Aurora, Colorado 80014
(720) 562-5506

Date: October 9, 2002



Form 1449 (Modified)

Information Disclosure
Statement By Applicant

(Use Several Sheets if Necessary)

Atty Docket No.

DIS-P005A

Applicants:

MARK A. HANDSCHY et al.

Filing Date

MARCH 24, 1998

Serial No.:

09/046,898

10/067516

Group

2873

U.S. Patent Documents

Examiner Initial	No.	Patent No.	Date	Patentee	Class	Sub-class	Filing Date
T-F	A	5,771,124	6/23/98	Kintz et al	359	633	
T-F	B	5,764,329	6/9/98	Chen et al	349	193	
T-F	C	5,703,664	12/30/97	Jachimowicz et al	349	58	
T-F	D	5,485,318	1/16/96	Lebby et al	359	811	
T-F	E	5,467,215	11/14/95	Lebby et al	359	247	
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Foreign Patent or Published Foreign Patent Application

Examiner Initial	No.	Document No.	Publication Date	Country or Patent Office	Class	Sub-class	Translation	
							Yes	No
	L							
	M							
	N							
	O							
	P							

Other Documents

Examiner Initial	No.	Author, Title, Date, Place (e.g. Journal) of Publication
	Q	
	R	
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Examiner		Date Considered

Examiner: Initial citation considered. Draw line through citation if not in conformance and not considered.
Include copy of this form with next communication to applicant.

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5 IDS 2873
DPA/GS/ab
2-5-02

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
50041-00014

In Re Application Of: **HANUSCHY ET AL.**

Serial No.

10/067,516

Filing Date

FEBRUARY 4, 2002

Examiner

NOT YET ASSIGNED

Group Art Unit

2873

Title:

"OPTICS ARRANGEMENTS INCLUDING LIGHT SOURCE ARRANGEMENTS FOR AN ACTIVE MATRIX LIQUID CRYSTAL IMAGE GENERATOR"

Address to:

Assistant Commissioner for Patents
Washington, D.C. 20231

37 CFR 1.97(b)

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application; within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or before the mailing date of a first Office Action on the merits, whichever event occurs last.

37 CFR 1.97(c)

2. ☐ The Information Disclosure Statement submitted herewith is being filed after three months of the filing of a national application, or the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or after the mailing date of a first Office Action on the merits, whichever occurred last but before the mailing date of either:

1. a Final Action under 37 CFR 1.113, or
2. a Notice of Allowance under 37 CFR 1.311,

whichever occurs first.

Also submitted herewith is:

- ☐ a certification as specified in 37 CFR 1.97(e);

OR

- ☐ the fee set forth in 37 CFR 1.17(p) for submission of an Information Disclosure Statement under 37 CFR 1.97(c).

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TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT

(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.

50041-00014

In Re Application Of: HANDSCHY ET AL.

Serial No.

10/067,516

Filing Date

FEBRUARY 4, 2002

Examiner

NOT YET ASSIGNED

Group Art Unit

2873

Title:

"OPTICS ARRANGEMENTS INCLUDING LIGHT SOURCE ARRANGEMENTS FOR AN ACTIVE MATRIX
LIQUID CRYSTAL IMAGE GENERATOR"

Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of _____ is attached.
- ☐ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. _____ as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of _____
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I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (Fax. No. _____)

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Certificate of Mailing by First Class Mail

I certify that this document and fee is being deposited on OCT. 9, 2002 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Signature of Person Mailing Correspondence

TERRI L. BELICH

Typed or Printed Name of Person Mailing Correspondence

*This certificate may only be used if paying by deposit account.

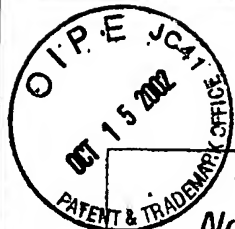
Dated: OCTOBER 9, 2002

Signature

ROBERT G. CROUCH
REGISTRATION NO. 34,806
MARSH FISCHMANN & BREYFOGLE LLP
3151 S. VAUGHN WAY #411
AURORA, COLORADO 80014
(720) 562-5506

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Notice of References Cited

Application No.
08/362,234

Applicant(s)

Handschy et al

Examiner

Thomas Robbins

Group Art Unit

2516

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U.S. PATENT DOCUMENTS

DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS
A 5,285,308	2/8/94	Jenkins et al	359	270
B				
C				
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FOREIGN PATENT DOCUMENTS

DOCUMENT NO.	DATE	COUNTRY	NAME	CLASS	SUBCLASS
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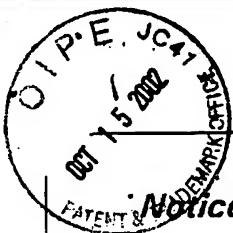
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**Notice of References Cited**Application No.
08/362,234Applicant(s)
Handschy et alExaminer
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	DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS
A	5,309,523	5/3/94	Iwaki et al	382	42
B	5,612,708	3/18/97	Ansley et al	345	8
C	3,697,149	10/10/72	Van Heeckeren et al	350	3.5
D	5,497,433	3/5/96	Itoh et al	382	211
E	4,865,427	9/12/89	Kingston et al	350	355
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FOREIGN PATENT DOCUMENTS

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Notice of References Cited

Application No.
09/046,898

Applicant(s)
Handschy et al.

Examiner
Dawn-Marie Bey

Group Art Unit
2873

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U.S. PATENT DOCUMENTS

	DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS
A	5,538,554	7/23/96	Lebby et al.	359	83
B	5,580,156	12/3/96	Suzuki et al.	362	184
C					
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Handeschy et al.

Examiner
Dawn-Marie Bey

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2873

Page 1 of 1

U.S. PATENT DOCUMENTS

	DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS
A	5,151,718	9/29/92	Nelson	346	160
B	5,504,514	4/2/96	Nelson	347	130
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FOREIGN PATENT DOCUMENTS

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